## ORIGINAL ARTICLE

# Five-Year Outcomes after PCI or CABG for Left Main Coronary Disease

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## ABSTRACT

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\*A complete list of investigators, institutions, and research organizations participating in the EXCEL trial is provided in the Supplementary Appendix, available at NEJM.org.

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## BACKGROUND

Long-term outcomes after percutaneous coronary intervention (PCI) with contemporary drug-eluting stents, as compared with coronary-artery bypass grafting (CABG), in patients with left main coronary artery disease are not clearly established.

# **METHODS**

We randomly assigned 1905 patients with left main coronary artery disease of low or intermediate anatomical complexity (according to assessment at the participating centers) to undergo either PCI with fluoropolymer-based cobalt–chromium everolimus-eluting stents (PCI group, 948 patients) or CABG (CABG group, 957 patients). The primary outcome was a composite of death, stroke, or myocardial infarction.

## RESULTS

At 5 years, a primary outcome event had occurred in 22.0% of the patients in the PCI group and in 19.2% of the patients in the CABG group (difference, 2.8 percentage points; 95% confidence interval [CI], -0.9 to 6.5; P=0.13). Death from any cause occurred more frequently in the PCI group than in the CABG group (in 13.0% vs. 9.9%; difference, 3.1 percentage points; 95% CI, 0.2 to 6.1). In the PCI and CABG groups, the incidences of definite cardiovascular death (5.0% and 4.5%, respectively; difference, 0.5 percentage points; 95% CI, -1.4 to 2.5) and myocardial infarction (10.6% and 9.1%; difference, 1.4 percentage points; 95% CI, -1.3 to 4.2) were not significantly different. All cerebrovascular events were less frequent after PCI than after CABG (3.3% vs. 5.2%; difference, -1.9 percentage points; 95% CI, -3.8 to 0), although the incidence of stroke was not significantly different between the two groups (2.9% and 3.7%; difference, -0.8 percentage points; 95% CI, -2.4 to 0.9). Ischemia-driven revascularization was more frequent after PCI than after CABG (16.9% vs. 10.0%; difference, 6.9 percentage points; 95% CI, 3.7 to 10.0).

# CONCLUSIONS

In patients with left main coronary artery disease of low or intermediate anatomical complexity, there was no significant difference between PCI and CABG with respect to the rate of the composite outcome of death, stroke, or myocardial infarction at 5 years. (Funded by Abbott Vascular; EXCEL Clinical Trials.gov number, NCT01205776.)

ERCUTANEOUS CORONARY INTERVENTION (PCI) with drug-eluting stents has emerged as an acceptable treatment for selected patients with left main coronary artery disease.1-8 However, long-term data from randomized trials comparing PCI involving contemporary drugeluting stents with coronary-artery bypass grafting (CABG) in these patients are lacking. In the Evaluation of XIENCE versus Coronary Artery Bypass Surgery for Effectiveness of Left Main Revascularization (EXCEL) trial,2 which involved patients with left main coronary artery disease of low or intermediate anatomical complexity, PCI with everolimus-eluting stents was noninferior to CABG with respect to the primary composite outcome measure of death, stroke, or myocardial infarction at a median 3-year follow-up. However, although the incidence of periprocedural adverse events (within 30 days) was lower in the PCI group, patients in the CABG group had fewer adverse events between 30 days and 3 years after the procedure. To further characterize the longterm outcomes of PCI as compared with CABG in patients with left main coronary artery disease, we report the final 5-year outcomes from this trial.

# METHODS

# TRIAL DESIGN

The trial design has been described previously.<sup>2,9</sup> In brief, we performed an international, openlabel, multicenter, randomized trial that compared PCI involving thin-strut cobalt-chromium fluoropolymer-based everolimus-eluting stents (XIENCE, Abbott Vascular) with CABG in patients with left main coronary artery disease. The organization of the trial is described and participating centers are listed in the Supplementary Appendix, available with the full text of this article at NEJM.org. The protocol, also available at NEJM .org, was designed by the principal investigators and trial committees, in which interventional cardiologists and cardiac surgeons were represented equally. The trial was approved by the investigational review board or ethics committee at each participating center, and written informed consent was obtained from all the patients. The trial was sponsored by Abbott Vascular, which participated in the design of the protocol and in the selection and management of the sites but was not involved in the management or analysis of the data or preparation of the manuscript, although it had the right to a nonbinding review of the manuscript. The principal investigators had unrestricted access to the data, prepared the manuscript, and vouch for the completeness and accuracy of the data and analyses and for the fidelity of the trial to the protocol.

# ENROLLMENT, RANDOMIZATION, AND FOLLOW-UP

Patients were eligible to participate in the trial if they had stenosis of the left main coronary artery of 70% or more (as estimated visually) or stenosis of 50% to less than 70% (if determined by means of noninvasive or invasive testing to be hemodynamically significant) and if a consensus among the members of the heart team had been reached regarding eligibility for revascularization with either PCI or CABG (Table S1 in the Supplementary Appendix).<sup>2,9</sup> In addition, eligible patients had low or intermediate anatomical complexity of coronary artery disease, as assessed at the participating center and defined by a Synergy between Percutaneous Coronary Intervention with Taxus and Cardiac Surgery (SYNTAX) score of 32 or less. 10 The SYNTAX score reflects a comprehensive angiographic assessment of the coronary vasculature, with 0 as the lowest score, and higher scores (no upper limit) indicating more complex coronary anatomy.

Randomization was performed with the use of an interactive voice-based or Web-based system in block sizes of 16, 24, or 32, with stratification according to the presence or absence of diabetes, SYNTAX score, and trial center. Clinical follow-up was performed at 1 month, 6 months, and 1 year and then annually through 5 years. Guideline-directed medical therapy and management of risk factors were recommended for all the patients, as previously described.9 Dual antiplatelet therapy was administered before PCI and for a minimum of 1 year thereafter. Aspirin was administered before and after CABG, and the use of clopidogrel during follow-up was allowed but not mandatory. Routine angiographic follow-up was not permitted.

# OUTCOMES

The primary outcome was the composite of death from any cause, stroke, or myocardial infarction at 3 years. Major secondary outcomes included the primary outcome measure at 30 days and the composite of death, stroke, myocardial infarction,

or ischemia-driven revascularization at 3 years. The cause of death was adjudicated as definite cardiovascular, definite noncardiovascular, or undetermined, and undetermined cases were conservatively classified as cardiovascular. Long-term additional secondary outcomes included these measures and their components at 5 years, as well as therapy failure (definite stent thrombosis or symptomatic graft stenosis or occlusion), all revascularizations, and all cerebrovascular events (stroke or transient ischemic attack). Outcomes are defined in Table S2. Trial monitors collected source documents of all primary and secondary outcome events for adjudication by an independent events committee. The extent of disease and SYNTAX score were assessed at an angiographic core laboratory.

#### STATISTICAL ANALYSIS

The trial was powered to show the noninferiority of PCI to CABG with respect to the primary outcome at 3 years.<sup>2,9</sup> The 5-year secondary outcomes were prespecified but were not explicitly powered or adjusted for multiple comparisons. The principal analyses were performed in the intention-to-treat population, which included all patients according to the group to which they were randomly assigned, regardless of the treatment received. Sensitivity analyses were performed in the per-protocol and as-treated populations,<sup>9</sup> with multiple imputation to account for missing follow-up data.<sup>11</sup>

Event rates were based on Kaplan-Meier estimates in time-to-first-event analyses. However, the underlying assumption of proportional hazards in the Cox model for the primary and major secondary outcomes from randomization through 5 years was not met (treatment-time interaction, P<0.001). Principal comparisons between treatments were therefore performed by logistic regression with follow-up time included as a logtransformed offset variable (no other covariates were included), with the use of an estimated standard error for the difference. In a post hoc analysis, we also evaluated piecewise hazards models separately within 0 to 30 days (the periprocedural period), 30 days to 1 year (the major risk period for stent restenosis), and 1 year to 5 years (long-term follow-up) — intervals during which proportional hazards were preserved. Given the presence of nonproportional hazards, net treatment effects were also examined with the use of post hoc milestone and restricted mean survival time analyses (Table S3). For milestone analysis, the percentage of patients with an event in each group was estimated with the Kaplan-Meier method, and Greenwood's formula was used to estimate standard errors. The difference between groups in milestone event rates that occurred each day during the 5-year follow-up period is reported. Restricted mean event-free survival time is the mean time free from an outcome event adjusted for loss to follow-up, reflecting the area under the survival curve.12 The difference between groups in the restricted mean survival time over the 5-year follow-up period is reported.

Categorical variables were compared with the use of the chi-square test or Fisher's exact test. Continuous variables were compared with the use of Student's t-test or the Wilcoxon rank-sum test for non-normally distributed data. For superiority, a two-sided P value of less than 0.05 was considered to indicate statistical significance. The 95% confidence intervals for secondary outcomes were not adjusted for multiple comparisons, and therefore inferences drawn from these intervals may not be reproducible. All statistical analyses were performed with the use of SAS software, version 9.4 (SAS Institute).

## RESULTS

## PATIENTS AND PROCEDURES

From September 29, 2010, to March 6, 2014, a total of 1905 patients with left main coronary artery disease were randomly assigned at 126 sites in 17 countries to PCI (948 patients) or CABG (957 patients) (Fig. S1). Baseline clinical and angiographic characteristics were well balanced between the groups (Tables S4 and S5). The mean ( $\pm$ SD) age of the patients was 66.0 $\pm$ 9.6 vears, 76.9% of patients were male, and 29.1% had diabetes. The mean SYNTAX score was 20.6±6.2 according to assessment at local sites and 26.5±9.3 according to the angiographic core laboratory analysis, and 80.5% of the patients had distal left main bifurcation disease. Procedural data are shown in Table S6. Adherence to guideline-directed medical therapy was high, although medication use differed between the groups during follow-up (Table S7).

Outcome		PCI = 948)		ABG = 957)	Difference in Event Rates (95% CI)	Odds Ratio (95% CI)
	Events	Event Rate	Events	Event Rate		
	no.	%	no.	%	percentage points	
Primary outcome						
Death, stroke, or myocardial infarction	203	22.0	176	19.2	2.8 (-0.9 to 6.5)	1.19 (0.95 to 1.50)
Secondary outcomes						
Death, stroke, myocardial infarction, or ischemia-driven revascularization	290	31.3	228	24.9	6.5 (2.4 to 10.6)	1.39 (1.13 to 1.71)
Death from any cause	119	13.0	89	9.9	3.1 (0.2 to 6.1)	1.38 (1.03 to 1.85)
Cardiovascular	61	6.8	49	5.5	1.3 (-0.9 to 3.6)	1.26 (0.85 to 1.85)
Definite cardiovascular	45	5.0	40	4.5	0.5 (-1.4 to 2.5)	1.13 (0.73 to 1.74
Undetermined cause	16	1.9	9	1.1	0.9 (-0.3 to 2.0)	1.78 (0.78 to 4.06
Noncardiovascular	58	6.6	40	4.6	2.0 (-0.2 to 4.2)	1.47 (0.97 to 2.23
Stroke	26	2.9	33	3.7	-0.8 (-2.4 to 0.9)	0.78 (0.46 to 1.31
Myocardial infarction	95	10.6	84	9.1	1.4 (-1.3 to 4.2)	1.14 (0.84 to 1.55
Periprocedural	37	3.9	57	6.1	-2.1 (-4.1 to -0.1)	0.63 (0.41 to 0.96
Nonperiprocedural	59	6.8	31	3.5	3.2 (1.2 to 5.3)	1.96 (1.25 to 3.06
Ischemia-driven revascularization	150	16.9	88	10.0	6.9 (3.7 to 10.0)	1.84 (1.39 to 2.44
PCI	125	14.1	80	9.1	4.9 (1.9 to 7.9)	1.65 (1.22 to 2.22
CABG	38	4.3	8	0.9	3.4 (1.9 to 4.9)	4.90 (2.27 to 10.5
Additional outcomes						
Any revascularization	153	17.2	92	10.5	6.7 (3.5 to 9.9)	1.79 (1.36 to 2.36
Stent thrombosis	16	1.8	0	0	_	_
Definite	10	1.1	0	0	_	_
Probable	6	0.7	0	0	_	_
Symptomatic graft stenosis or occlusion	0	0	58	6.5	_	_
Therapy failure†	10	1.1	58	6.5	-5.4 (-7.2 to -3.6)	0.16 (0.08 to 0.32
Cerebrovascular events‡	29	3.3	46	5.2	-1.9 (-3.8 to 0)	0.61 (0.38 to 0.99
Transient ischemic attack	3	0.3	14	1.6	-1.3 (-2.2 to -0.4)	0.21 (0.06 to 0.74

<sup>\*</sup> Event rates were based on Kaplan-Meier estimates in time-to-first-event analyses; thus, the rate is not the same as the ratio of the numerator and denominator. Odds ratios and 95% confidence intervals were estimated from logistic regression with follow-up time included as a log-transformed offset variable. The 95% confidence intervals for secondary outcomes have not been adjusted for multiple comparisons, and therefore inferences drawn from these intervals may not be reproducible. CABG denotes coronary-artery bypass grafting, and PCI percutaneous coronary intervention.

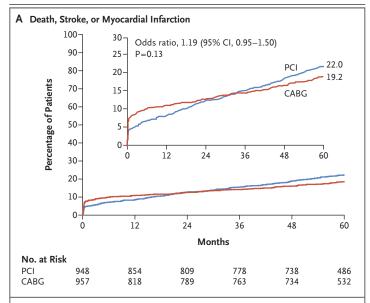
# PRIMARY OUTCOME

Five-year follow-up was achieved in 93.2% and the patients in the CABG group (difference, 2.8 90.1% of the PCI and CABG groups, respectively. percentage points; 95% confidence interval [CI], The primary composite of death, stroke, or myo--0.9 to 6.5; P=0.13) (Table 1 and Fig. 1A). The

of the patients in the PCI group and 19.2% of cardial infarction at 5 years occurred in 22.0% hazard ratios (PCI vs. CABG) for the primary out-

<sup>†</sup> Therapy failure was defined as definite stent thrombosis or symptomatic graft stenosis or occlusion.

Cerebrovascular events were stroke or transient ischemic attack.



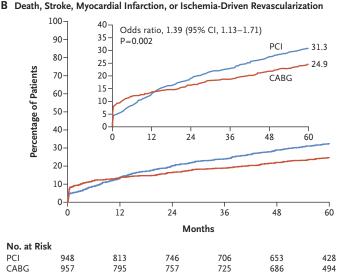


Figure 1. Time-to-First-Event Curves for the Primary and Secondary Composite Outcomes through 5-Year Follow-up.

Panel A shows the results of the primary composite outcome of death from any cause, stroke, or myocardial infarction. Panel B shows the results of the secondary composite outcome of death from any cause, stroke, myocardial infarction, or ischemia-driven revascularization. Event rates were based on Kaplan–Meier estimates. Given nonproportional hazards during the follow-up period, logistic regression with follow-up time included as a log-transformed offset variable was used to calculate the odds ratios with 95% confidence intervals. The 95% confidence intervals for secondary outcomes have not been adjusted for multiple comparisons, and therefore inferences drawn from these intervals may not be reproducible. In each panel, the inset shows the same data on an enlarged y axis. CABG denotes coronary-artery bypass grafting, and PCI percutaneous coronary intervention.

come varied in the three periods between 0 to 30 days (hazard ratio, 0.61; 95% CI, 0.42 to 0.88), 30 days to 1 year (hazard ratio, 1.07; 95% CI, 0.68 to 1.70), and 1 year to 5 years (hazard ratio, 1.61; 95% CI, 1.23 to 2.12) (Table 2 and Fig. S2). Analyses of milestones and restricted mean survival time showed that the early benefit of PCI was gradually diminished over time by increased postprocedural risk (Fig. S3). Mean event-free survival through 5 years was 5.2 days (95% CI, -46.1 to 56.5) longer after PCI than after CABG. The treatment effect for the primary outcome in prespecified subgroups is shown in Figure 2. Results were similar in the per-protocol and astreated populations and after multiple imputation accounting for missing follow-up data (Tables S8 and S9).

#### SECONDARY OUTCOMES

The secondary composite outcome of death, stroke, myocardial infarction, or ischemia-driven revascularization at 5 years occurred in 31.3% of the patients in the PCI group and 24.9% of the patients in the CABG group (difference, 6.5 percentage points; 95% CI, 2.4 to 10.6) (Table 1 and Fig. 1B). The incidences of the individual components of the primary and secondary composite outcomes are shown in Table 1 and Figure 3. Death from any cause occurred in 13.0% of the patients in the PCI group and 9.9% of the patients in the CABG group (difference, 3.1 percentage points; 95% CI, 0.2 to 6.1). Eighteen of the 30 excess deaths in the PCI group were adjudicated as noncardiovascular deaths, 5 as definite cardiovascular deaths, and 7 as being of undetermined cause (Table S10). The results were similar after accounting for patients who were lost to follow-up (Table S9). The incidences of stroke and myocardial infarction at 5 years did not differ significantly between the PCI group and the CABG group. Ischemia-driven revascularization within 5 years was performed more frequently after PCI than after CABG, whereas the incidences of all cerebrovascular events and definite stent thrombosis or symptomatic graft stenosis or occlusion at 5 years were less frequent with PCI than with CABG. The hazard ratios for the secondary outcomes between 0 to 30 days, 30 days to 1 year, and 1 year to 5 years are provided in Table 2.

Variable	PCI		CABG	i	Hazard Ratio (95% CI)
	Events	Event Rate	Events	Event Rate	
	no./no. of patients	%	no./no. of patients	%	
Outcomes at 30 days					
Death, stroke, or myocardial infarction	46/948	4.9	75/957	8.0	0.61 (0.42–0.88
Death	9/948	1.0	10/957	1.1	0.90 (0.37–2.2
Stroke	6/948	0.6	12/957	1.3	0.50 (0.19–1.32
Myocardial infarction	37/948	3.9	59/957	6.3	0.63 (0.42–0.94
Death, stroke, myocardial infarction, or ischemia-driven revascularization	46/948	4.9	80/957	8.5	0.57 (0.40–0.82
schemia-driven revascularization	6/948	0.6	13/957	1.4	0.46 (0.17–1.2
Definite stent thrombosis or symptomatic graft stenosis or occlusion	3/948	0.3	11/957	1.2	0.27 (0.08–0.9
Outcomes from 30 days to 1 yr					
Death, stroke, or myocardial infarction	38/948	4.1	35/957	3.8	1.07 (0.68–1.7
Death	22/948	2.4	23/957	2.5	0.94 (0.53–1.6
Stroke	5/948	0.5	7/957	0.8	0.71 (0.22–2.2
Myocardial infarction	16/948	1.7	10/957	1.1	1.58 (0.72–3.4
Death, stroke, myocardial infarction, or ischemia-driven revascularization	83/948	8.9	56/957	6.1	1.48 (1.05–2.0
schemia-driven revascularization	59/948	6.4	28/957	3.1	2.10 (1.34–3.3
Definite stent thrombosis or symptomatic graft stenosis or occlusion	0/948	0	22/957	2.4	_
Outcomes from 1 yr to 5 yr					
Death, stroke, or myocardial infarction	133/933	15.1	83/929	9.7	1.61 (1.23–2.1
Death	88/933	10.0	56/929	6.6	1.57 (1.12–2.1
Stroke	16/933	1.9	15/929	1.8	1.06 (0.52–2.1
Myocardial infarction	43/933	5.1	20/929	2.4	2.16 (1.27–3.6
Death, stroke, myocardial infarction, or ischemia-driven revascularization	198/933	22.4	118/929	13.8	1.74 (1.38–2.1
schemia-driven revascularization	100/933	11.6	49/929	5.8	2.10 (1.49–2.9
Definite stent thrombosis or symptomatic graft stenosis or occlusion	7/933	0.8	25/929	3.0	0.28 (0.12–0.6

<sup>\*</sup> Event rates were based on Kaplan-Meier estimates in time-to-first-event analyses; thus, the rate is not the same as the ratio of the numerator and denominator. The landmark period from 30 days to 5 years includes all randomly assigned patients at day 30 except those who died before day 30. Thus, some patients with a stroke, myocardial infarction, or ischemia-driven revascularization within 30 days may have had a second event between 30 days and 5 years. The 95% confidence intervals for secondary outcomes have not been adjusted for multiple comparisons, and therefore inferences drawn from these intervals may not be reproducible.

# DISCUSSION

Patients with left main coronary artery disease or CABG than with medical therapy alone.<sup>14</sup> In have a poor prognosis because of the large six randomized trials involving patients with left amount of myocardium at risk.<sup>13</sup> Survival among main coronary artery disease, PCI with drug-

patients with left main coronary artery disease is longer after revascularization with either PCI

Pennty   P		
riefant cutoff)  yr redian cutoff)  yr redian cutoff)  yr redian cutoff)  yr sold sees and coronary arteries  core laboratory assessment)  Ax score (core laboratory assessment)  redian cutoff)  123/466  27.2  28/473  28/47		
redian cutoff)  yr  redian cutoff)  yr  redian cutoff)  yr  80/482  133/466  16.9  148/722  20.6  134/742  rese mellitus, medically treated  72/256  131/692  131/193  AX score (site reported)  111/554  111/574  111/574  1227  136/741  137/31  13		1.19 (0.95–1.50)
yr         123/466         27.2         98/472           yr         80/482         16.9         78/485           yr         80/482         16.9         78/485           le         145/722         20.6         134/742           res mellitus, medically treated         72/256         26.3         42/213           res mellitus, medically treated         72/256         29.0         62/249           ickidney disease         131/622         19.4         114/707           in mated GFR s60 ml/min         54/164         34.0         37/144           minated GFR s60 ml/min         147/700         19.5         113/791           ps         31/164         34.0         37/144           minated GFR s60 ml/min         147/700         19.5         113/701           ps         31/11         31.5         26/115           ps         31/11         31.5         26/115           ps         33/11         31.5         26/115           ps         33/11         31.5         20.7         23/167           ps         31/162         32.0         33/182           ps         31/162         32.0         33/182           ps		
yr         80/482         16.9         78/485           le         145/725         20.6         134/742           le         145/725         20.6         134/742           le male         58/226         26.3         42/215           ic kidney disease         131/692         19.4         114/707           ic kidney disease         131/692         19.4         114/707           in mated GFR >60 ml/min         54/164         34.0         37/144           minated GFR >60 ml/min         147/770         19.5         135/791           entircular ejection fraction         144/770         19.5         135/791           menticular ejection fraction         144/770         19.5         135/791           pope         33/111         31.5         20.6         144/796           pope         111/534         21.1         102/541           pope         111/534         21.1         102/541           core laboratory assessment)         33/163         20.7         23/167           style         102/202         21.2         60/202           risk         102         37/182           risk         20.7         21.0         35/195	<u>†</u>	1.39 (1.02–1.89)
tes mellitus, medically treated tes mellitus, medically treated tic kidney disease in kidney in kidney disease in kidney in ki	+	1.00 (0.71–1.40)
145/722     20.6     134/742       58/226     26.3     42/215       72/256     29.0     62/249       131/692     19.4     114/707       131/692     19.4     114/707       14/7770     19.5     137/144       158/782     20.6     144/796       33/111     31.5     26/115       89/381     24.2     61/371       111/534     21.1     102/541       33/163     20.7     23/167       60/292     21.2     61/292       79/325     25.0     50/295       31/162     19.2     37/182       117/771     22.7     136/741       32/171     19.2     37/186       49/294     17.2     58/364       91/392     23.7     69/346       60/293     23.7     69/346       60/296     21.9     69/346       70/366     69/346       84/386     22.2     69/346       86/288     25.0     69/346       86/288     25.0     69/346       86/288     69/346		
58/226     26.3     42/215       72/256     29.0     62/249       131/692     19.4     114/707       54/164     34.0     37/144       147/770     19.5     135/791       158/782     20.6     144/796       33/111     31.5     26/115       89/381     24.2     61/371       111/534     21.1     102/541       33/163     20.7     23/167       60/292     21.2     61/292       79/325     25.0     50/295       31/162     19.2     37/182       111/771     22.7     136/741       32/171     19.2     35/195       119/560     21.9     70/366       84/386     22.2     58/364       91/392     23.7     69/346       91/392     23.7     69/346       91/392     25.0     42/216		1.12 (0.86–1.46)
72/256     29.0     62/249       131/692     19.4     114/707       54/164     34.0     37/144       147/770     19.5     135/791       158/782     20.6     144/796       33/111     31.5     26/115       89/381     24.2     61/371       111/534     21.1     102/541       33/163     20.7     23/167       60/292     21.2     61/292       79/325     25.0     50/295       31/162     19.2     37/182       117/771     22.7     136/741       32/171     19.2     35/195       119/560     21.9     70/366       49/294     17.2     58/364       91/392     23.7     69/346       60/293     25.0     42/216	-1-	1.39 (0.88–2.20)
72/256 29.0 62/249 131/692 19.4 114/707 134/104 34.0 37/144 147/770 19.5 135/791 118/782 20.6 144/796 33/111 31.5 26/115 89/381 24.2 61/371 111/534 21.1 102/541 33/163 20.7 23/167 60/292 21.2 61/292 79/325 25.0 50/295 31/162 19.2 37/182 117/771 22.7 136/741 32/171 19.2 35/195 119/560 21.9 106/588 84/386 22.2 58/364 91/392 23.7 69/346 56/228 25.0 42/216		
131/692   19.4   114/707     54/164   34.0   37/144     147/770   19.5   135/791     158/782   20.6   144/796     33/111   31.5   26/115     89/381   24.2   61/371     111/534   21.1   102/541     33/163   20.7   23/167     60/292   21.2   61/292     79/325   25.0   50/295     19/325   22.7   136/741     111/771   22.7   136/741     119/560   21.9   106/588     84/386   22.2   70/366     84/386   22.2   88/364     91/392   23.7   69/346     56/228   25.0   42/216     56/228   25/228     56/228   25/228     56/228   25/228     56/228   25/228     56/228	-1-	1.24 (0.83–1.86)
54/164       34,0       37/144         147/770       19.5       135/791         158/782       20.6       144/796         33/111       31.5       26/115         89/381       24.2       61/371         111/534       21.1       102/541         3/33       9.6       13/45         60/292       21.2       61/292         79/325       25.0       50/295         31/162       19.2       37/182         111/771       22.7       136/741         32/171       19.2       35/195         119/560       21.9       106/588         84/386       22.2       70/366         49/294       17.2       58/364         91/392       23.7       69/346         56/228       25.0       42/216		1.17 (0.89–1.55)
54/164       34,0       37/144         147/770       19.5       135/791         158/782       20.6       144/796         33/111       31.5       26/115         89/381       24.2       61/371         111/534       21.1       102/541         3/33       9.6       13/45         33/163       20.7       23/167         60/292       21.2       61/292         79/325       25.0       50/295         31/162       19.2       37/182         111/771       22.7       136/741         32/171       19.2       35/195         119/560       21.9       106/588         84/386       22.2       70/366         49/294       17.2       58/364         91/392       23.7       69/346         56/228       25.0       42/216		
147/770     19.5     135/791       158/782     20.6     144/796       33/111     31.5     26/115       89/381     24.2     61/371       111/534     21.1     102/541       3/33     9.6     13/45       33/163     20.7     23/167       60/292     21.2     61/292       79/325     25.0     50/295       31/162     19.2     37/182       171/71     22.7     136/741       32/171     19.2     35/195       119/560     21.9     106/588       84/386     22.2     70/366       49/294     17.2     58/346       56/228     25.0     42/216	-	1.44 (0.86–2.39)
158/782     20.6     144/796       33/111     31.5     26/115       89/381     24.2     61/371       111/534     21.1     102/541       3/33     9.6     13/45       33/163     20.7     23/167       60/292     21.2     61/292       79/325     25.0     50/295       31/162     19.2     37/182       111/771     22.7     136/741       32/171     19.2     35/195       119/560     21.9     106/588       84/386     22.2     70/366       49/294     17.2     58/346       56/228     25.0     42/216		1.13 (0.87–1.47)
158/782     20.6     144/796       33/111     31.5     26/115       89/381     24.2     61/371       111/534     21.1     102/541       3/33     9.6     13/45       33/163     20.7     23/167       60/292     21.2     61/292       79/325     25.0     50/295       31/162     19.2     37/182       117/71     22.7     136/741       32/171     19.2     35/195       119/560     21.9     106/588       84/386     22.2     70/366       49/294     17.2     58/346       56/228     25.0     42/216		
33/111       31.5       26/115         89/381       24.2       61/371         111/534       21.1       102/541         3/33       9.6       13/45         33/163       20.7       23/167         60/292       21.2       61/292         79/325       25.0       50/295         31/162       19.2       37/182         11/771       22.7       136/741         32/171       19.2       35/195         119/560       21.9       106/588         84/386       22.2       70/366         49/294       17.2       58/346         91/392       23.7       69/346         56/228       25.0       42/216	-†-	1.14 (0.88–1.46)
89/381 24.2 61/371 111/534 21.1 102/541 3/33 9.6 113/45 33/163 20.7 23/167 60/292 21.2 61/292 79/325 25.0 50/295 31/162 19.2 37/182 1171/71 22.7 136/741 32/171 19.2 35/195 119/560 21.9 106/588 84/386 22.2 70/366 49/294 17.2 58/364 91/392 23.7 66/346 56/228 25.0 42/216	1	1.35 (0.73–2.49)
89/381 24.2 61/371 111/534 21.1 102/541 3/33 9.6 113/45 33/163 20.7 23/167 60/292 21.2 61/292 79/325 25.0 50/295 31/162 19.2 37/182 1171/71 22.7 136/741 32/171 19.2 35/195 119/560 21.9 106/588 84/386 22.2 70/366 49/294 17.2 58/364 56/228 25.0 62/346		
111/534       21.1       102/541         3/33       9.6       13/45         33/163       20.7       23/167         60/292       21.2       61/292         79/325       25.0       50/295         31/162       19.2       37/182         171/71       22.7       136/741         32/171       19.2       35/195         119/560       21.9       106/588         84/386       22.2       70/366         49/294       17.2       58/346         56/228       25.0       42/216	•	1.57 (1.09–2.26)
3/33 9.6 13/45 33/163 20.7 23/167 60/292 21.2 61/292 79/325 25.0 50/295 31/162 19.2 37/182 1171/71 22.7 136/741 32/171 19.2 35/195 119/560 21.9 106/588 84/386 22.2 70/366 49/294 17.2 58/364 56/228 25.0 42/216	- <del> </del>	1.09 (0.81–1.48)
33/163 20.7 23/167 60/292 21.2 61/292 79/325 25.0 50/295 31/162 19.2 37/182 171/71 22.7 136/741 32/171 19.2 35/195 119/560 21.9 106/388 84/386 22.2 70/366 49/294 17.2 58/364 91/392 23.7 69/346 56/228 25.0 42/216		0.24 (0.06–0.96)
33/163     20.7     23/167       60/292     21.2     61/292       79/325     25.0     50/295       31/162     19.2     37/182       171/771     22.7     136/741       32/171     19.2     35/195       119/560     21.9     106/588       84/386     22.2     70/366       49/294     17.2     58/364       91/392     23.7     69/346       56/228     25.0     42/216		
60/292     21.2     61/292       79/325     25.0     50/295       31/162     19.2     37/182       171/771     22.7     136/741       32/171     19.2     35/195       119/560     21.9     106/588       84/386     22.2     70/366       49/294     17.2     58/364       91/392     23.7     69/346       56/228     25.0     42/216		<b>—</b> 1.55 (0.86–2.78)
79/325     25.0     50/295       31/162     19.2     37/182       171/771     22.7     136/741       32/171     19.2     35/195       119/560     21.9     106/588       84/386     22.2     70/366       49/294     17.2     58/364       91/392     23.7     69/346       56/228     25.0     42/216	1	0.94 (0.62–1.40)
31/162     19.2     37/182       171/771     22.7     136/741       32/171     19.2     35/195       119/560     21.9     106/588       84/386     22.2     70/366       49/294     17.2     58/364       91/392     23.7     69/346       56/228     25.0     42/216	-	1.58 (1.06–2.36)
171/771     22.7     136/741       32/171     19.2     35/195       119/560     21.9     106/588       84/386     22.2     70/366       49/294     17.2     58/364       91/392     23.7     69/346       56/228     25.0     42/216		0.93 (0.54–1.59)
171/771 22.7 136/741 32/171 19.2 35/195 119/560 21.9 106/588 84/386 22.2 70/366 49/294 17.2 58/364 91/392 23.7 69/346 56/228 25.0 42/216		
32/171 19.2 35/195 119/560 21.9 106/588 84/386 22.2 70/366 49/294 17.2 58/364 91/392 23.7 69/346 56/228 25.0 42/216	<u></u>	1.24 (0.96–1.60)
119/560     21.9     106/588       84/386     22.2     70/366       49/294     17.2     58/364       91/392     23.7     69/346       56/228     25.0     42/216	<u> </u>	1.05 (0.62–1.79)
119/560     21.9     106/588       84/386     22.2     70/366       49/294     17.2     58/364       91/392     23.7     69/346       56/228     25.0     42/216		
84/386     22.2     70/366       49/294     17.2     58/364       91/392     23.7     69/346       56/228     25.0     42/216		1.21 (0.90–1.62)
49/294       17.2       58/364         91/392       23.7       69/346         56/228       25.0       42/216	-#-	1.16 (0.81–1.67)
2 49/294 17.2 58/364 91/392 23.7 69/346 56/228 25.0 42/216		
91/392 23.7 69/346 56/228 25.0 42/216	- <b>-</b> -	0.99 (0.65–1.51)
56/228 25.0 42/216		1.22 (0.85–1.74)
		1.36 (0.86–2.15)
	0.2 0.5 1.0 1.5 2.0	5.0
	PCI Better CABG Better	Better

the relative risks of the treatment effects in any of the subgroups. The estimated glomerular filtration rate (GFR) was calculated by means of the Cockcroft-Gault equation. The Synergy between Percutaneous Coronary Intervention with Taxus and Cardiac Surgery (SYNTAX) score reflects a comprehensive angiographic assessment of the coronary vasculature, with 0 as the lowest score, and higher scores (no upper limit) indicating more complex coronary anatomy. The 95% confidence intervals for secondary outcomes have not been adjusted for multiple comparisons, and therefore inferences drawn from these intervals may not be reproducible.

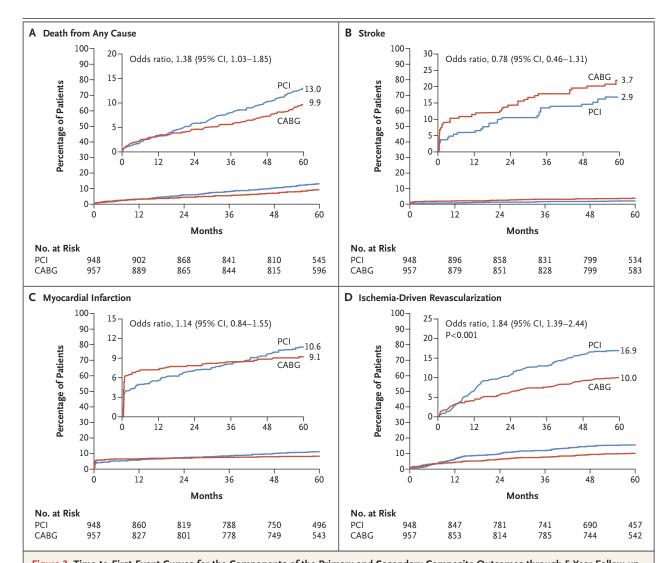


Figure 3. Time-to-First-Event Curves for the Components of the Primary and Secondary Composite Outcomes through 5-Year Follow-up. Results of analyses of the components of the primary and secondary composite outcomes are shown in Panel A (death from any cause), Panel B (stroke), Panel C (myocardial infarction), and Panel D (ischemia-driven revascularization). Event rates were based on Kaplan-Meier estimates. Given nonproportional hazards during the follow-up period, logistic regression with follow-up time included as a logtransformed offset variable was used to calculate the odds ratios with 95% confidence intervals. The 95% confidence intervals for secondary outcomes have not been adjusted for multiple comparisons, and therefore inferences drawn from these intervals may not be reproducible. In each panel, the inset shows the same data on an enlarged y axis.

eluting stents was associated with more favorable outcomes at 1 year than CABG, with fewer periprocedural adverse events and more rapid recovery.<sup>3,4,15</sup> Conversely, conflicting long-term findings from these trials have been reported.<sup>1,3-5</sup> However, to achieve adequate power, these trials relied on differences in the incidence of repeat revascularization, an outcome of lesser importance to physicians and patients than death, stroke, and myocardial infarction. 16 The degree infarction. Current-generation fluoropolymer-

of deterioration in health status that triggers repeat revascularization is also greater after CABG than after PCI; this calls into question the parity of this outcome. 17,18 In addition, previous trials did not use contemporary drug-eluting stents, which have a better safety profile than that of earlier devices.

The present trial was powered to examine the composite rate of death, stroke, or myocardial based thin-strut cobalt-chromium everolimuseluting stents, which are associated with a low incidence of stent thrombosis, were used, 19,20 and contemporary CABG techniques were incorporated.<sup>2,9</sup> We did not detect a significant difference in the composite rate of death, stroke, or myocardial infarction at 5 years between patients with left main coronary artery disease and low or intermediate anatomical complexity (as defined by a site-reported SYNTAX score of ≤32) who underwent PCI and those who underwent CABG. This finding was consistent across important subgroups, including patients with diabetes and those without diabetes and patients with lower and higher SYNTAX scores. However, interpreting these results as showing no difference between treatments is simplistic. As shown by the piecewise hazards analysis, three distinct periods of relative risk were present: from 0 to 30 days, when PCI resulted in fewer primary outcome events than CABG; from 30 days to 1 year, when the incidence of the events was similar among patients in each treatment group; and from 1 to 5 years, when primary outcome events were less common after CABG than after PCI. Consideration of the differential timing of risk is clinically relevant, since earlier exposure to adverse events has a more profound influence on the long-term burden of disease than exposure to events occurring later. As shown by the analysis of milestones and restricted mean survival time, by 5 years, the early benefit of PCI due to reduced periprocedural risk was attenuated by the greater number of events that occurred during follow-up than with CABG, such that the cumulative mean time free from adverse events was similar in the two treatment groups.

There were numerical differences between PCI and CABG in several nonpowered secondary outcomes. The event rates of death from any cause (a 3.1-percentage-point difference between the groups) and repeat revascularization (a 6.9-percentage-point difference) favored CABG, whereas event rates of cerebrovascular events (a 1.9-percentage-point difference) and therapy failure (a 5.4-percentage-point difference) favored PCI. Rates of myocardial infarction at 5 years were similar in the two groups, but they favored PCI in the periprocedural period and CABG during long-term follow-up. Although some of these findings may indicate true treatment effects, they must be interpreted cautiously, since more

than 20 secondary outcomes were assessed and analyses were not adjusted for multiple comparisons. Nonetheless, several findings warrant comment.

Although the cause of death can sometimes be ambiguous, rates of adjudicated definite cardiovascular death were similar among patients who underwent PCI and those who underwent CABG, consistent with the similar rates of myocardial infarction at 5 years. The difference in allcause mortality between the groups was driven by noncardiovascular deaths, especially those from cancer and infection, which occurred more commonly after PCI during late follow-up. The finding of a possible excess of deaths from any cause after PCI is at odds with the similar rates of death at 5 years among patients who underwent PCI and among those who underwent CABG in the contemporary Nordic-Baltic-British Left Main Revascularization (NOBLE) trial,3 an individual patient-data pooled analysis of six randomized trials involving 4478 patients with left main coronary artery disease, and in other meta-analyses4,21 and with the similar mortality at 10 years after PCI and CABG among patients with left main coronary artery disease in the SYNTAX trial.<sup>22</sup>

Whereas previous studies have shown higher rates of stroke after CABG than after PCI,23 the excess of cerebrovascular events after CABG in the present trial was driven more by transient ischemic attacks than by strokes. The greater observed incidence of repeat revascularization after the use of drug-eluting stents than after CABG is consistent with previous analyses, but most revascularization events were repeat PCI procedures; only 1 of 25 patients initially treated with everolimus-eluting stents underwent CABG within 5 years. Nonetheless, repeat revascularization procedures may be associated with myocardial infarction and death.<sup>24</sup> These considerations notwithstanding, the absolute 5-year differences between the groups with respect to all the secondary outcomes were relatively modest, and some may have been due to chance. This perspective should be considered in discussions between the heart team and the patient when weighing the pros and cons of the different therapies.<sup>25</sup>

Additional limitations of this trial should be considered. First, bias in event ascertainment cannot be ruled out given the open-label trial design. Second, although the trial excluded pa-

tients with high SYNTAX scores, approximately 25% of the patients met this criterion according to the core laboratory analysis. Although the primary outcome results were consistent in this subgroup, further studies are needed to determine the most appropriate treatment for patients with left main coronary artery disease and high anatomical complexity. Third, a specific biomarker-based definition of large periprocedural myocardial infarction was used in the present trial<sup>2,6</sup>; this definition differs from the criteria used in the Third Universal Definition of Myocardial Infarction<sup>26</sup> (which was developed while the current trial was ongoing) and the Fourth Universal Definition of Myocardial Infarction<sup>27</sup> (which was developed subsequently). The definition used in the EXCEL trial is based on previously established criteria that have been shown to be prognostically relevant after PCI and after CABG and that minimize ascertainment bias.<sup>28</sup> As previously reported, the occurrence of periprocedural myocardial infarction according to this protocol definition was independently predictive of late death from cardiovascular causes and death from any cause after PCI and after CABG, whereas lesser degrees of elevated levels of biomarkers were not.29 Fourth, patients who underwent PCI, as compared with those who underwent CABG, more commonly received dual the full text of this article at NEJM.org.

antiplatelet therapy and inhibitors of the reninangiotensin axis during follow-up, whereas patients who underwent CABG more commonly received oral anticoagulants, beta-blockers, diuretics, and antiarrhythmic agents; these differences reflect inherent differences between the procedures and their resulting complications. The extent to which variability in medication use contributed to the present results is uncertain. Finally, follow-up was limited to 5 years, and at this time point the hazard curves were continuing to diverge. Ten-year (or longer) follow-up is needed to characterize the very late safety profiles of PCI and CABG, since both stents and bypass grafts progressively fail over time.

In conclusion, in the present trial we did not find a significant difference between PCI and CABG with respect to rates of the composite outcome of death, stroke, or myocardial infarction at 5 years among patients with left main coronary artery disease and low or intermediate anatomical complexity (as defined by the SYNTAX score) according to assessment at the participating centers.

A data sharing statement provided by the authors is available with the full text of this article at NEJM.org.

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Disclosure forms provided by the authors are available with

## APPENDIX

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